

COMMON APPLICATION FORM FOR LUMPSUM

Application No.



ARN-302492

E575984

#By mentioning RIA/ PMRN code, I/we authorize you to share with the Investment Adviser the details of my/our transactions in the scheme(s) of Bandhan Mutual Fund.

Declaration for "execution-only" transaction (only where EUIN box is left blank) (Refer Instruction No. XIII). - I/We hereby confirm that the EUIN box has been intentionally left blank by me/ us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/ relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

Signature of First / Sole Applicant /
Guardian / Authorised Signatory

1 EXISTING FOLIO NO.

2 MODE OF HOLDING / OPERATION

☐ Single ☐ Anyone or Survivor ☐ Joint (Default option is anyone or survivor)

3 APPLICANT'S DETAILS (Name and Date of Birth as per PAN) (Please refer to the Instruction No. A, C, D, R) All fields are mandatory.

Gender ☐ Male ☐ Female1st APPLICANT

Mr Ms M/s

PAN / PEKRN*

KIN* ☐ Proof Attached

Date of Birth**

GUARDIAN NAME IF MINOR / CONTACT PERSON
(FOR NON INDIVIDUALS) / POA HOLDER

Mr Ms

PAN / PEKRN*

KIN* ☐ Proof Attached Relationship with Minor applicant ☐ Natural guardian ☐ Court appointed guardian

Date of Birth**

2nd APPLICANT

Mr Ms

PAN / PEKRN*

KIN* ☐ Proof Attached

Date of Birth**

3rd APPLICANT

Mr Ms

PAN / PEKRN*

KIN* ☐ Proof Attached

Date of Birth**

*Mandatory information - If left blank, the application is liable to be rejected. **Mandatory in case the Sole/ First applicant is minor. *Individual client who has registered under Central KYC Records Registry (CKYCR) has to fill the 14 digit KYC Identification Number (KIN).

4 CORRESPONDENCE DETAILS OF SOLE/ FIRST APPLICANT (AS PER KYC RECORDS)

Correspondence Address

HOUSE / FLAT NO.

STREET ADDRESS

COUNTRY

PIN CODE

Overseas Address (Mandatory for NRI / FII Applicants)

CITY / TOWN

STATE

COUNTRY

PIN CODE

Mobile No.

Tel. No.

Office

Tel. No.

Residence

Mobile No belongs to:- ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA

Email ID

Email id belongs to:- ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA (Please refer Instruction No. Z and ✓)

Second Holder Contact details

Mobile No.

Email ID

Mobile No belongs to:- ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POAEmail id belongs to:- ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA

Third Holder Contact details

Mobile No.

Email ID

Mobile No belongs to:- ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POAEmail id belongs to:- ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA

All communications will be sent by default to the registered E-mail ID / Mobile No. In case you wish to receive physical communication (please ✓ here)

If you wish to receive Annual Report or Abridged Summary via Post (Applicable only if email id is not available) (Please ✓ here)

(Refer instruction Z)

5 TAX STATUS (Please ✓)

☐ Resident Individual	☐ Foreign National	☐ Public Limited Company	☐ Government Body	☐ AOP/BOI	☐ Defence Establishment
☐ On behalf of Minor	☐ Sole Proprietorship	☐ Private Limited Company	☐ Financial Institution	☐ Trust / Society / NGO	☐ Other Specify
☐ HUF	☐ Partnership Firm	☐ Body Corporate	☐ FII	☐ Non Profit Organization/Charities	
☐ NRI	☐ LLP	☐ Bank	☐ Foreign Portfolio Investor	☐ QFI	

6 DEMAT ACCOUNT DETAILS (OPTIONAL) (Applicable ONLY for investors who are willing to hold their investment in DEMAT form)

NSDL: Depository Participant (DP) ID (NSDL only)

Beneficiary Account Number (NSDL only)

CDSL: Depository Participant (DP) ID (CDSL only)

7 BANK DETAILS (Mandatory)

Mandatory information - If left blank the application is liable to be rejected. (Mandatory to attach proof, in case the pay-out bank account is different from the source bank account.) For unit holders opting to hold units in demat form, please ensure that the bank account linked with the demat account is mentioned here.

Account Number Account Type ☐ Current ☐ Savings ☐ NRO ☐ NRE ☐ FCNR ☐ Others (please specify)

Bank Name & Branch

Branch City IFSC Code 11 digit MICR Code 9 digit

Bandhan MUTUAL FUND - ACKNOWLEDGMENT SLIP (To be filled in by the investor.)

Application No.

Received, subject to realisation, verification and conditions

From

Stamp & Signature

8 INVESTMENT & PAYMENT DETAILS (Please refer to the Instruction No. E & J) (Please refer SID for Scheme Riskometer, Benchmark Riskometer, Plans and Sub-options)

Scheme	Plan	Option
Mode of payment ☐ Self ☐ Third Party Payment (Please fill the Third Party Payment Declaration Form)	Payment mode ☐ Cheque ☐ DD ☐ Bandhan OTM ☐ Fund Transfer ☐ RTGS/NEFT	
Amount (figures)	☐ Cheque/DD/UTR/UMR No.	Cheque Date
Account No.	Account Type ☐ Saving ☐ Current ☐ NRO ☐ NRE ☐ FCNR ☐ Others	Please specify
Bank & Branch Name		

(*Please fill STP/SWP/DCW Transfer Registration Form separately)

9 NOMINATION DETAILS Mandatory section for Individuals (Single or Joint) ☐ I/We wish to nominate ☐ I/We do not wish to nominate^{ss}

Nominee Name & Address	Relationship with Investor	In case of Minor (Birth proof to be attached)			Allocation %
		Guardian Name	Relationship with the minor	Date of birth	
Nominee 1					
Nominee 2					
Nominee 3					

Other Details (Guardian details to be furnished in case nominee is a minor)

Nominee 1	PAN	Mobile	Email ID	Nominee/ Guardian sign
Nominee 2	PAN	Mobile	Email ID	Nominee/ Guardian sign
Nominee 3	PAN	Mobile	Email ID	Nominee/ Guardian sign

^{ss} OPT-OUT: I/We hereby confirm that I/We do not wish to appoint any nominee(s) for my mutual fund units held in my / our mutual fund folio and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents issued by Court or other such competent authority, based on the value of assets held in my / our folio.

 Sign Here →	First / Sole Applicant / Guardian	Second Applicant	Third Applicant
--	-----------------------------------	------------------	-----------------

10 FATCA AND CRS DETAILS FOR INDIVIDUALS (including Sole Proprietor) (Mandatory)

Non-Individual investors should mandatorily fill separate FATCA Form (Annexure II). The below information is required for all applicants / guardian

	Place/City of Birth	Country of Birth	Country of Citizenship / Nationality			
First Applicant / Guardian			☐ Indian	☐ U.S.	☐ Others	Please specify
Second Applicant			☐ Indian	☐ U.S.	☐ Others	Please specify
Third Applicant			☐ Indian	☐ U.S.	☐ Others	Please specify

Are you a tax resident (i.e. are you assessed for tax) in any other country outside India? ☐ YES ☐ NO (please tick ✓)

If "YES" please fill for ALL countries (other than India in which you are a Resident for tax purpose i.e. where you are a Citizen/ Resident/ Green Card holder/ Tax Resident in the respective countries).

	Country of Tax Residency	Tax Identification Number or Functional Equivalent	Identification Type (TIN or other please specify)	Identification Type (TIN or other please specify)
First Applicant / Guardian				Reasons ☐ A ☐ B ☐ C
Second Applicant				Reasons ☐ A ☐ B ☐ C
Third Applicant				Reasons ☐ A ☐ B ☐ C

☐ Reason A → The country where the Account Holder is liable to pay tax does not issue Tax Identification Number to its residents.☐ Reason B → No TIN required (Select this reasons Only if the authorities of the country of tax residence do not require the TIN to be collected) ☐ Reason C → Others please state the reasons thereof :

Address Type of Sole /1st Holder	Address Type of 2nd Holder	Address Type of 3rd Holder
☐ Residential ☐ Registered Office ☐ Business	☐ Residential ☐ Registered Office ☐ Business	☐ Residential ☐ Registered Office ☐ Business

Annexure I and Annexure II are available on the website of AMC i.e. www.bandhanmutual.com or at the Investor Service centres (ISCs) of Bandhan Mutual Fund

11 KYC DETAILS (Mandatory)**OCCUPATION** [Please tick (✓)]

	Private Sector Service	Public Sector Service	Government Service	Business	Professional	Agriculturist	Retired	Housewife	Student	Forex Dealer	Others
First Applicant / Guardian	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	Please specify
Second Applicant	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	Please specify
Third Applicant	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	Please specify

GROSS ANNUAL INCOME [Please tick (✓)]

First Applicant / Guardian	☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ >25 Lacs-1 crore ☐ >1 crore	OR Net worth (Mandatory for Non-Individuals) ₹		as on		as on (Not older than 1 year)
Second Applicant	☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ >25 Lacs-1 crore ☐ >1 crore	OR Net worth ₹				
Third Applicant	☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ >25 Lacs-1 crore ☐ >1 crore	OR Net worth ₹				

OTHERS [Please tick (✓)]

First Applicant / Guardian	For Individuals Please tick (✓) ☐ am Politically Exposed Person (PEP) ^a ☐ am Related to Politically Exposed Person (RPEP) ☐ Not applicable
	For Non-Individuals Please tick (✓) (Please attach mandatory Ultimate Beneficial Ownership (UBO) declaration form - Refer instruction no. IV(h));
	(i) Foreign Exchange / Money Changer Services ☐ Y ☐ N (ii) Gaming / Gambling / Lottery / Casino Services ☐ Y ☐ N (iii) Money Lending / Pawning ☐ Y ☐ N
Second Applicant	☐ Politically Exposed Person (PEP) ^a ☐ Related to Politically Exposed Person (RPEP) ☐ Not applicable
Third Applicant	☐ Politically Exposed Person (PEP) ^a ☐ Related to Politically Exposed Person (RPEP) ☐ Not applicable

12 DECLARATION & SIGNATURES (Please refer to the Instruction No. K)

I/We have read, understood and agree to comply with the terms and conditions of the Statement of Additional Information, Scheme Information Documents and Key Information Memorandum of the Scheme(s), Foreign Account Tax Compliance Act and Common Reporting Standards, statutory requirements prescribed by SEBI, AMFI, Prevention of Money Laundering Act, 2002 (PMLA), Privacy Policy of Bandhan AMC Limited available on the website of Bandhan Mutual Fund www.bandhanmutual.com and all applicable rules and regulations and hereby confirm that I/We have not received nor been induced by any rebate or gifts, directly or indirectly, to make this investment. I/We hereby declare that I/we do not have any existing Micro SIPs which together with the current application will result in a total investments exceeding Rs. 50,000 in a year. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. For NRIs / PIOs / FPIs only: I/We confirm that I am / we are Non Resident Indians / Person(s) of Indian Origin / Foreign Portfolio Investors but not (i) United States persons as per applicable Regulations or (ii) residents of Canada, and I / we have remitted funds from abroad through approved banking channels or from funds in my / our Non-Resident External / Non-Resident Ordinary / FCNR Account maintained in accordance with applicable RBI guidelines. I/We hereby provide my/our consent to Bandhan AMC Limited for (i) collecting, storing and usage of personal information for the purposes of processing my/our application and providing the services to which I/we have subscribed and for the purposes of meeting legal and regulatory requirements; (ii) receiving updates on promotional material and transaction related communication via mail, telecall, SMS, etc.

 Sign Here →	First / Sole Applicant / Guardian / Authorised Signatory	Second Applicant	Third Applicant
--	--	------------------	-----------------

Instrument No.	Dated	Amount (₹)	Scheme

TERMS AND CONDITIONS :

- 1) Bandhan Mutual Fund can register the attached 'One Time mandate' to facilitate collection of payments towards investments in Mutual Funds as directed by the investors.
- 2) The facility is made available to investors of Bandhan Mutual funds who subscribe to use this one time mandate for their intended investment collections only.
- 3) The Scheme Information Document (SID), Scheme Additional Information (SAI) and Key Information Memorandum, all Instructions, Addenda issued from time to time, as available on the Bandhan Mutual Funds' website, Risk Factors, Loads and all other information related to various requirements of the respective Scheme/Plan shall be understood and referred to before providing the mandate by the investor. It is deemed that the investor agrees to the terms and conditions of the respective chosen scheme as stipulated by AMC from time to time.
- 4) Bandhan MF shall map this mandate, after due acceptance from investor's bank, as one of the authorised payment modes in the RTA folio records for all investments in Bandhan Mutual Fund and the above mapping shall be purely based on automatic matching of PAN mentioned in the attached mandate with the first holder's PAN (Guardian's PAN for Minors) in investor's folios.
- 5) Bandhan MF, directly as or through its appointed aggregator (service provider) shall arrange to lodge only the duly valid Mandate with investor's bank under Automated Clearing House (ACH) Rules.
- 6) As per NPCI, mandate can be registered upto 40 years.
- 7) Any charges payable by the investor to his/her bank for registering and honoring this mandate will not be borne by Bandhan and for the same to be debited to bank account, the mandate contains necessary authorization.
- 8) Bandhan MF or its aggregator shall also facilitate collection of periodical or adhoc payments due from the Investor, (either for fresh purchase or additional purchase or SIP investments as the case may be) as per duly lodged and accepted mandate terms. This will be as per specific instructions received from Bandhan Mutual Fund for the desired adhoc/ periodical transactions instructions issued/conveyed to them directly by the investor through various permitted modes.
- 9) Bandhan MF shall ensure that under any circumstances, the funds will not be used other than for the intended purpose and Bandhan MF shall not have any title or ownership over the proceeds except for the interim period pending pass through to the Bandhan MF.
- 10) Bandhan MF shall be bound by rules and procedures prescribed by NPCI from time to time for this ACH Debit mandate collection services operated by them.
- 11) The investor cannot hold the Bandhan Mutual Fund or the aggregator responsible for any loss, if mandate is rejected or any of its transaction is delayed or not effected at all for reasons of incomplete or incorrect information or due to any reason at or of the investor bank.
- 12) Bandhan MF will not be responsible or liable for nor be in default for reasons of any failure or delay in ensuring obligations under this facility, where such failure or delay is caused, in whole or in part, by any force majeure event (including network failures) which are beyond the reasonable control of Bandhan MF.
- 13) The investor clearly understands that this common ACH mandate provides for multiple debits on same settlement date to pay various underlying transactions for values, each of which shall be less or equal to the maximum amount specified in the relevant mandate. Accordingly, the investor shall ensure that the intended bank account remains funded well in advance of any given date to meet all his adhoc/periodical commitments for transactions under the relevant mandates. Investor shall note that his bank may charge service fees for any dishonor.
- 14) All the intended transactions will be presented on best efforts basis if received by and within the timelines agreed by the service provider and the decision of the investor's bank will be final in acceptance or rejection of any or all transaction.
- 15) Bandhan MF shall recover any wrongful credits afforded in investor's folios and for which notice/information is hereby waived.
- 16) The investor clearly understands that debit of funds for transactions using Bandhan One Time Mandate form will be subject to normal processing time taken for clearing such transactions through the ACH platform and in accordance with NPCI guidelines.
- 17) SIPs can be registered for a maximum period of 40 years.
- 18) All Applicants name and date of birth should be as per PAN.
- 19) In case the chosen SIP date is not available in a particular month, the SIP instalment will be processed on the last available calendar day of the month. If last available calendar day falls on a non-business day, then the SIP instalment will be processed on the next available business day.

Toll free 1800 266 6688 / 1800 300 66688

Available Between
9:00 am - 6:00 pm on weekdays

Please note our investor
service email id
investormf@bandhanamc.com

www.bandhanmutual.com

Fresh Nomination / Change of Existing Nomination/ Cancellation of Nomination Form

To be filled in by Individual(s) applying for Single or Joint Holder Account

APPLICATION DETAILS

Folio No.		
First Holder		PAN
Second Holder		PAN
Third Holder		PAN

I/We, the above named unitholders in Bandhan Mutual Fund, do hereby nominate the person(s) more particularly described hereunder to receive the units held by my/our folio listed below in the event of my/our death and by cancelling the nomination(s) made by me/us previously in respect of the unit held by me/us in the indicated folio.

I /We want the details of my / our nominee to be printed in the statement of holding, provided to me/us the AMC as follows;
(please ✓, as appropriate)

☐ Name of Nominee(s) with% ☐ Nomination: ☐ Yes / No (Default)

EXISTING/ NEW NOMINEES NAME AND ADDRESS *Mandatory Details ☐ Registration ☐ Change/Modification ☐ Cancellation

Particulars	Nominee 1	Nominee 2	Nominee 3
Nominee Name*			
Relationship with the Applicant*			
Allocation*			
Guardian Name (in case of minor)			
Mobile of nominee(s)/ Guardian in case of Minor*			
Email ID of nominee(s) / Guardian in case of Minor*			
Address of Nominee(s) / Guardian in case of Minor* City / Place : State & Country	 	 	
	Pincode:	Pincode:	Pincode:
Date of Birth (DD-MM-YY)*			
Nominee/ Guardian (in case of Minor) Identification details <i>[please ✓ any one of the following and provide ID Number and no copies required].</i>	☐ PAN ☐ Aadhaar (masked last 4 digits) ☐ Passport (for NRIs/OCIs/PIOs) ☐ Driving License	☐ PAN ☐ Aadhaar (masked last 4 digits) ☐ Passport (for NRIs/OCIs/PIOs) ☐ Driving License	☐ PAN ☐ Aadhaar (masked last 4 digits) ☐ Passport (for NRIs/OCIs/PIOs) ☐ Driving License

DECLARATION AND SIGNATURE (As per mode of holding)

I / We have read the terms and conditions for nomination and hereby nominate the above nominee(s) to receive all the amounts to my / our credits in the event of my / our death. Signature of the nominee(s) acknowledging receipt of my / our credit will constitute full discharge of liabilities of Bandhan Mutual Fund.

Signature(s)

Signature(s)		
Sole / First Holder (Mr./Ms.)	Name:	Signature /Thumb Impression:
	Witness 1 Name & Address:	Witness 1 Signature:
	Witness 2 Name & Address:	Witness 2 Signature:
Second Holder (Mr./Ms.)	Name:	Signature /Thumb Impression:
	Witness 1 Name & Address:	Witness 1 Signature:
	Witness 2 Name & Address:	Witness 2 Signature:
Third Holder (Mr./Ms.)	Name:	Signature /Thumb Impression:
	Witness 1 Name & Address:	Witness 1 Signature:
	Witness 2 Name & Address:	Witness 2 Signature:

*Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature.

ACKNOWLEDGMENT SLIP (to be filed in by the investor)

I/We here by Register/ Cancel/ Change Nominee in Folio No.

Stamp & Signature

INSTRUCTIONS

Nomination:

1. The nomination can be made only by individuals applying for / holding units on their own behalf, singly or jointly. In case there is more than one holder in the folio then the form needs to be signed as per the mode of holding.
2. Non-individuals including society, trust, body corporate, partnership firm, Karta of Hindu Undivided Family (HUF), holder of Power of Attorney cannot nominate. Similarly, nomination cannot be registered in folio's held in the name of the minor/s.
3. A minor can be nominated and in that event, the name and address of the guardian of the minor nominee shall be provided by the unit holder.
4. Nomination can be done in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
5. A Non-Resident Indian(NRI) can be a Nominee subject to the exchange controls in force, from time to time.
6. Nomination in respect of the units stands rescinded upon (a) transfer of units and (ii) in the event where the death of the nominee precedes the death of the unit holder.
7. Transfer of units in favour of a Nominee shall be valid discharge by the asset management company against the legal heirs.
8. The cancellation of nomination can be made only by those individuals who hold units on their own behalf, singly or jointly and who made the original nomination.
9. On cancellation of the nomination, the nomination shall stand rescinded and the asset management company shall not be under any obligation to transfer the units in favour of the Nominee.
10. Nomination can be made for maximum number of three nominees. In case of multiple nominees, the percentage of the allocation/share should be in whole numbers without any decimals making a total of 100%. In the event of the unit holder(s) not indicating the percentage of allocation/share for each of the nominees, the AMC, by invoking default option shall settle the claims equally amongst all the nominees.
11. If the mode of holding is single and the existing nomination (s) is cancelled without any further nomination, it shall be construed as a deemed consent of that investor for not having any nominee in the existing folio.
12. Nomination by a unitholder shall be applicable for investments in all schemes in the folio or account.
13. Every new nomination for a folio/ account will overwrite the existing nomination. Nomination will be subject to the provisions of the respective Scheme Information Document.
14. In case of investors opting to hold the units in demat form, the nomination details provided by the investor to the depository participant, will be applicable.
15. In order to receive the amount standing to the credit of the account, in the event of the death of the unitholder, the nominee (s) shall be obliged to furnish such documents as may be required by Bandhan AMC Limited, to its satisfaction.
16. In case of demise of the investor and any one of the nominees, the regulated entities shall distribute the assets pro-rata to the remaining nominees.
17. Nomination section (Opt-In/ Opt-Out) shall be mandatory for all new folios which are opened by individual (Single mode of holding) and no new folios will be created without such details. The AMC has the discretion to reject the application in case the nomination section is incomplete or left blank. Investors are advised to use a separate form if they wish to Opt-Out from nomination.
18. Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature.
19. You can make nomination or change nominee any number of times without any restriction.
20. The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family, or a Power of Attorney holder.
21. The nomination will be registered only when this form is completed in all respects to the satisfaction of the AMC.
22. In respect of folios/accounts where the Nomination has been registered, the AMC will not entertain any request for transmission / claim settlement from any person other than the registered nominee(s), unless so directed by any competent court.